# Morphing Attack Detection Obstacles for Research to Deployment

### On Behalf of EU H2020-ISF SOTAMD and iMARS Project

### INTERNATIONAL FACE PERFORMANCE CONFERENCE Oct 28, 2020



UNIVERSITY OF TWENTE

Bundeskriminalamt

h da



ALMA MATER STUDIORUM NIVERSITÀ DI BOLOGNA



## Acknowledgements (and Disclaimer)



Funded by the **European Union** 

This presentation was partially funded by the European Union's Internal Security Fund — Borders and Visa under the project SOTAMD and iMARS (Grant agreement ID: 883356).

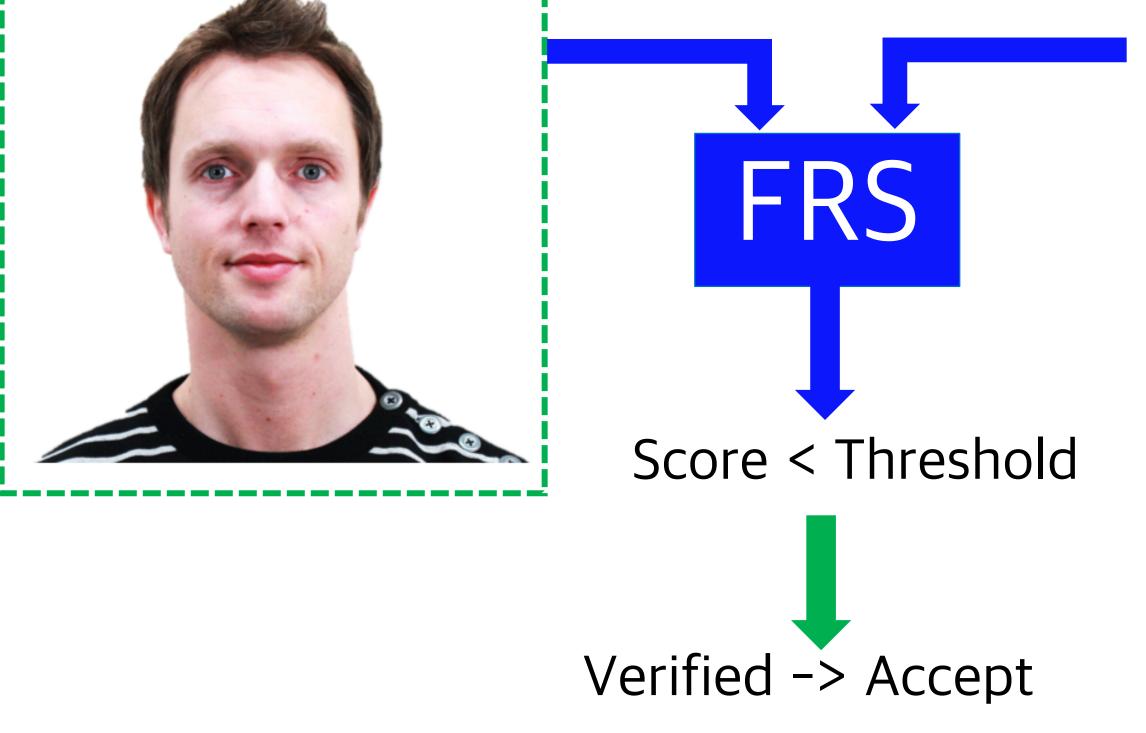
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## Threats on Face Recognition Systems (FRS) through Morphing

### Morphing - Introduction



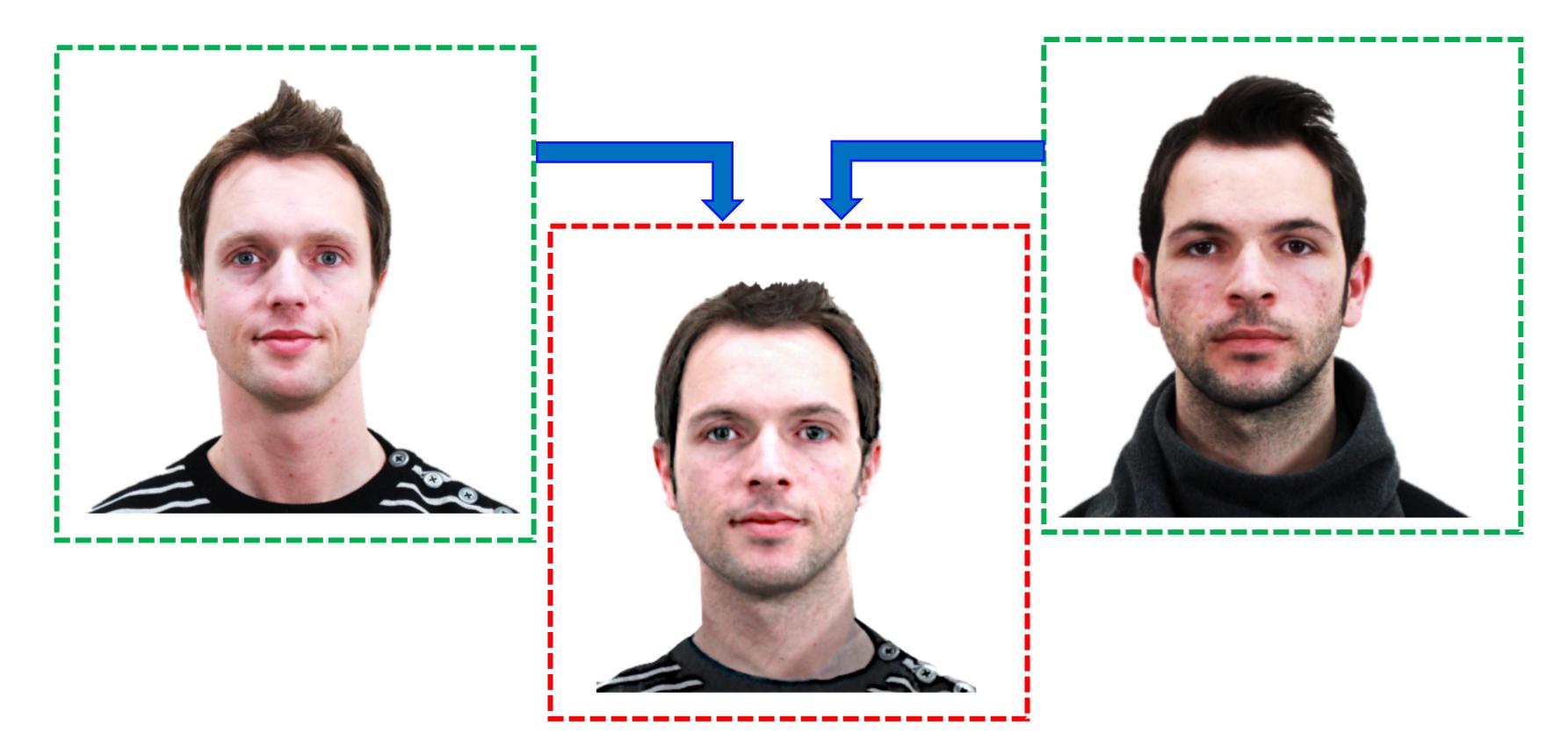
Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Raghavendra, R., Kiran Raja, and Christoph Busch. "Detecting morphed face images." In 2016 IEEE BTAS, pp. 1-7. IEEE, 2016.

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### Morphing - Introduction

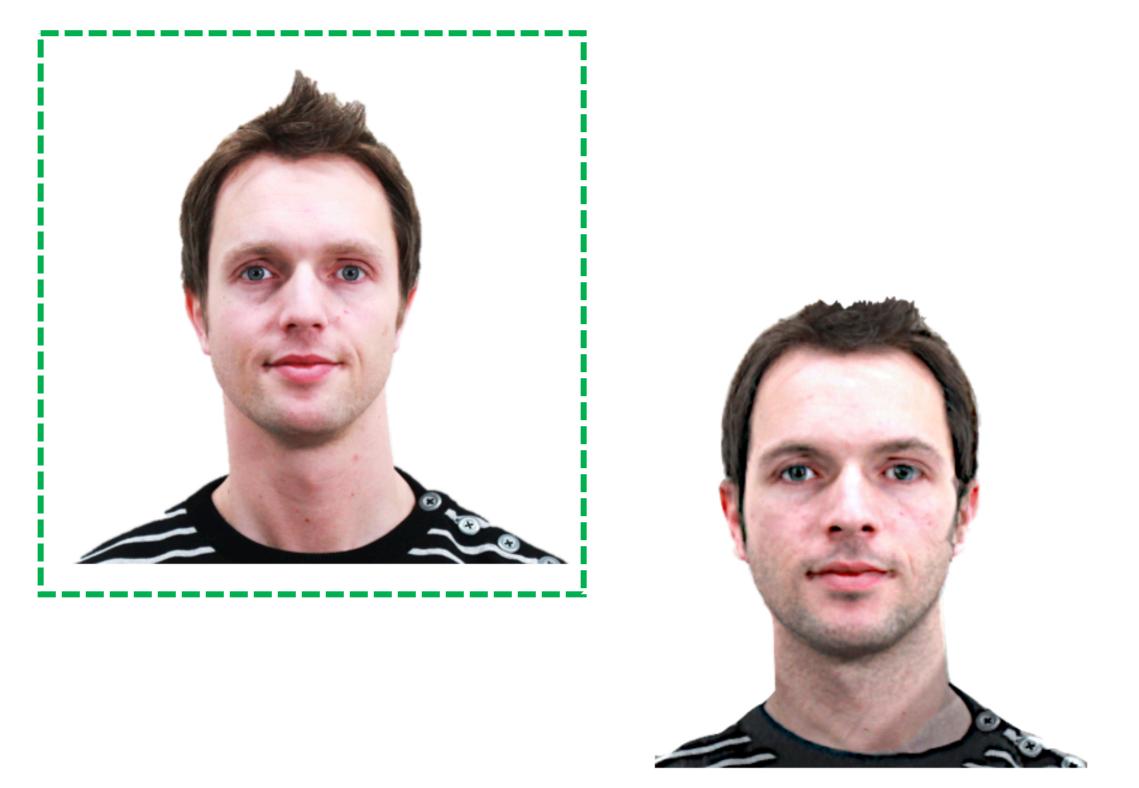


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### Morphing - Introduction



Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Raghavendra, R., Kiran Raja, and Christoph Busch. "Detecting morphed face images." In 2016 IEEE BTAS, pp. 1-7. IEEE, 2016.

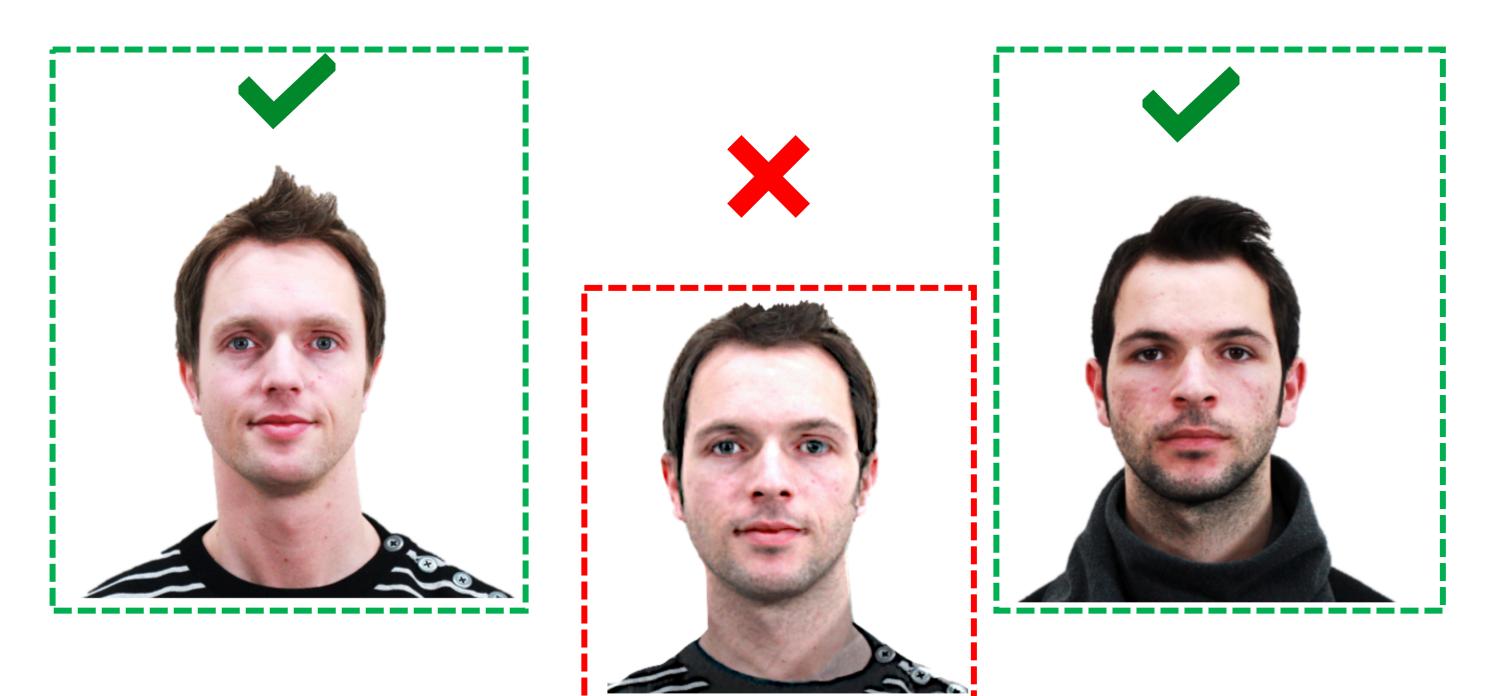
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## Impact of Morphing on FRS



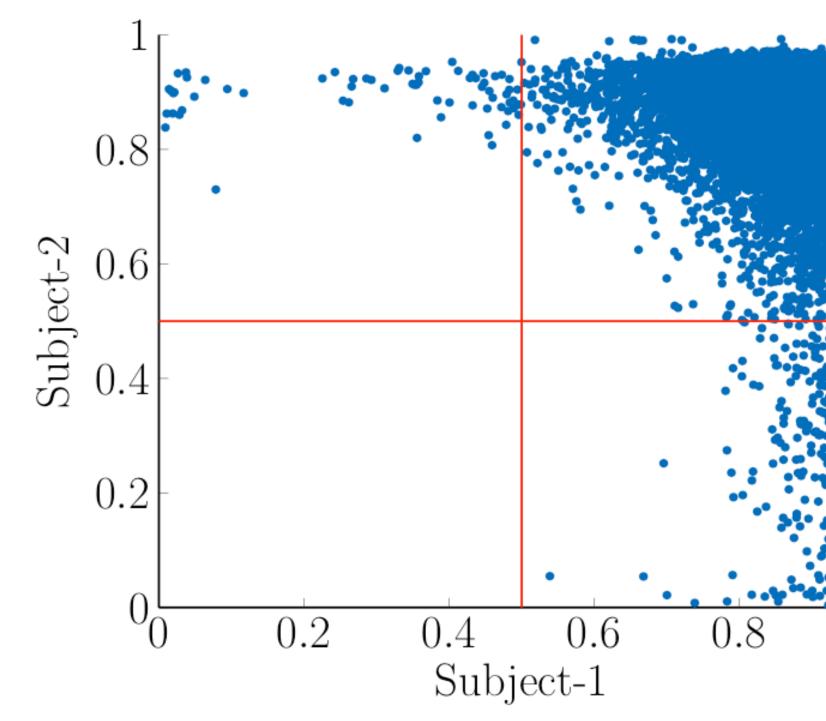
### FRS should accept the genuine and reject the morphed image

Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Raghavendra, R., Kiran Raja, and Christoph Busch. "Detecting morphed face images." In 2016 IEEE BTAS, pp. 1-7. IEEE, 2016.

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## Impact of Morphing on FRS



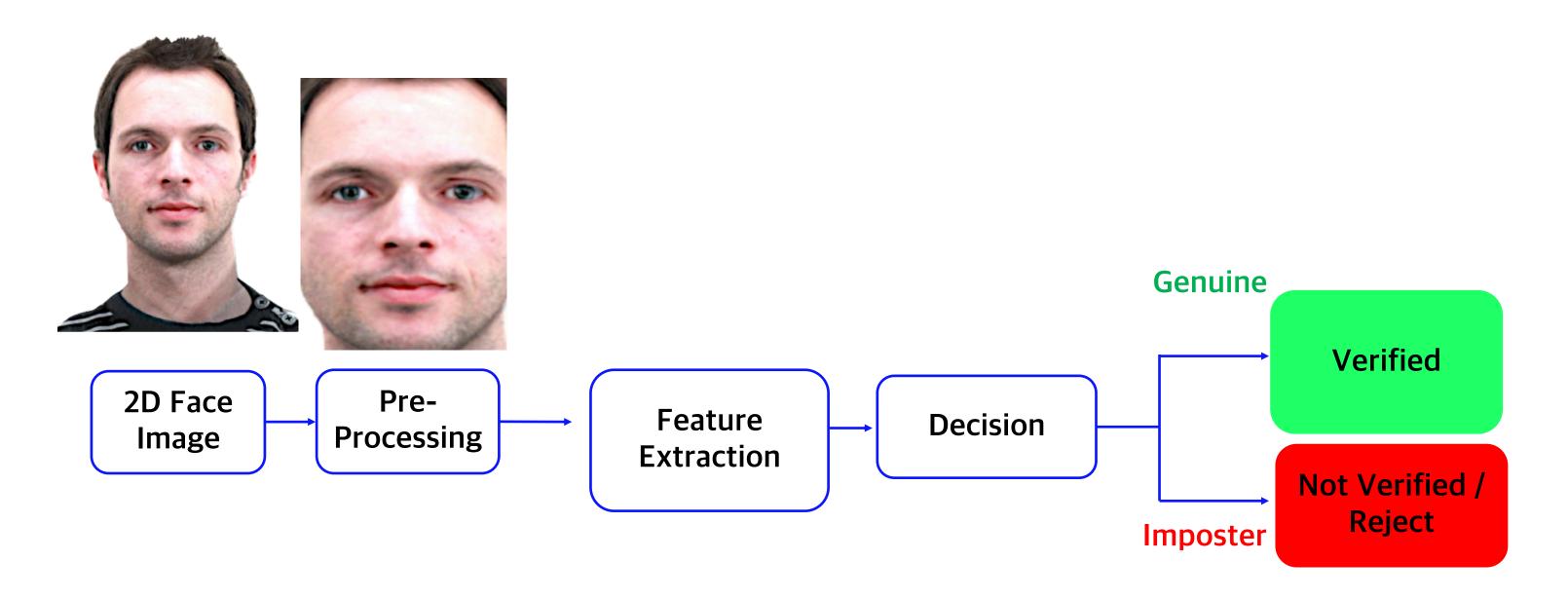
### COTS FRS - Accepts both subjects equally, crosses the threshold at operating points of FAR=0.01%.

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### FRS



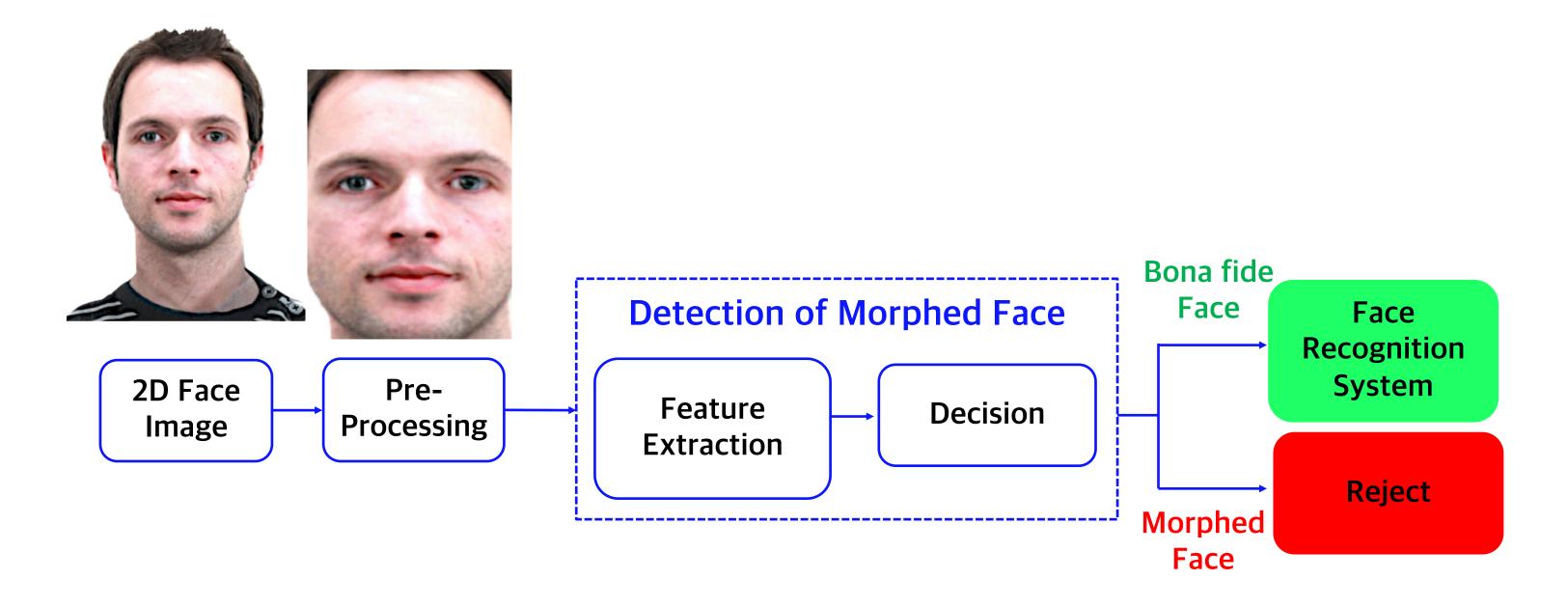
Ulrich Scherhag, Christian Rathgeb, Johannes Merkle, Ralph Breithaupt, Christoph Busch, Face Recognition Systems under Morphing Attacks: A Survey, in IEEE Access, 2019. R. Raghavendra, K. B. Raja, and C. Busch. Detecting Morphed Face Images. In 8th IEEE International Conference on Biometrics: Theory, Applications, and Systems (BTAS), 2016.

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### FRS - With MAD



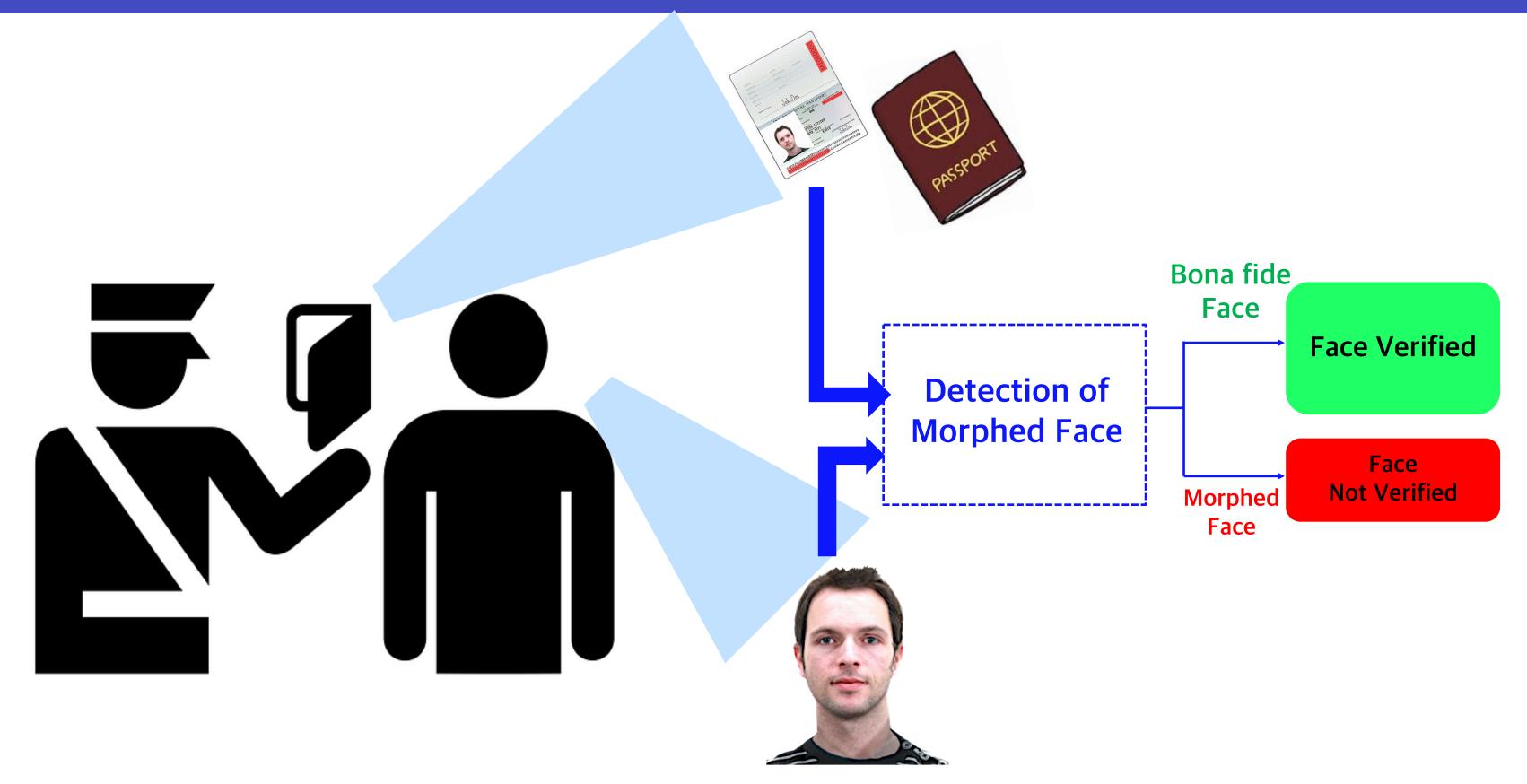
Ulrich Scherhag, Christian Rathgeb, Johannes Merkle, Ralph Breithaupt, Christoph Busch, Face Recognition Systems under Morphing Attacks: A Survey, in IEEE Access, 2019. R. Raghavendra, K. B. Raja, and C. Busch. Detecting Morphed Face Images. In 8th IEEE International Conference on Biometrics: Theory, Applications, and Systems (BTAS), 2016.

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# Differential MAD (D-MAD) - Scenario



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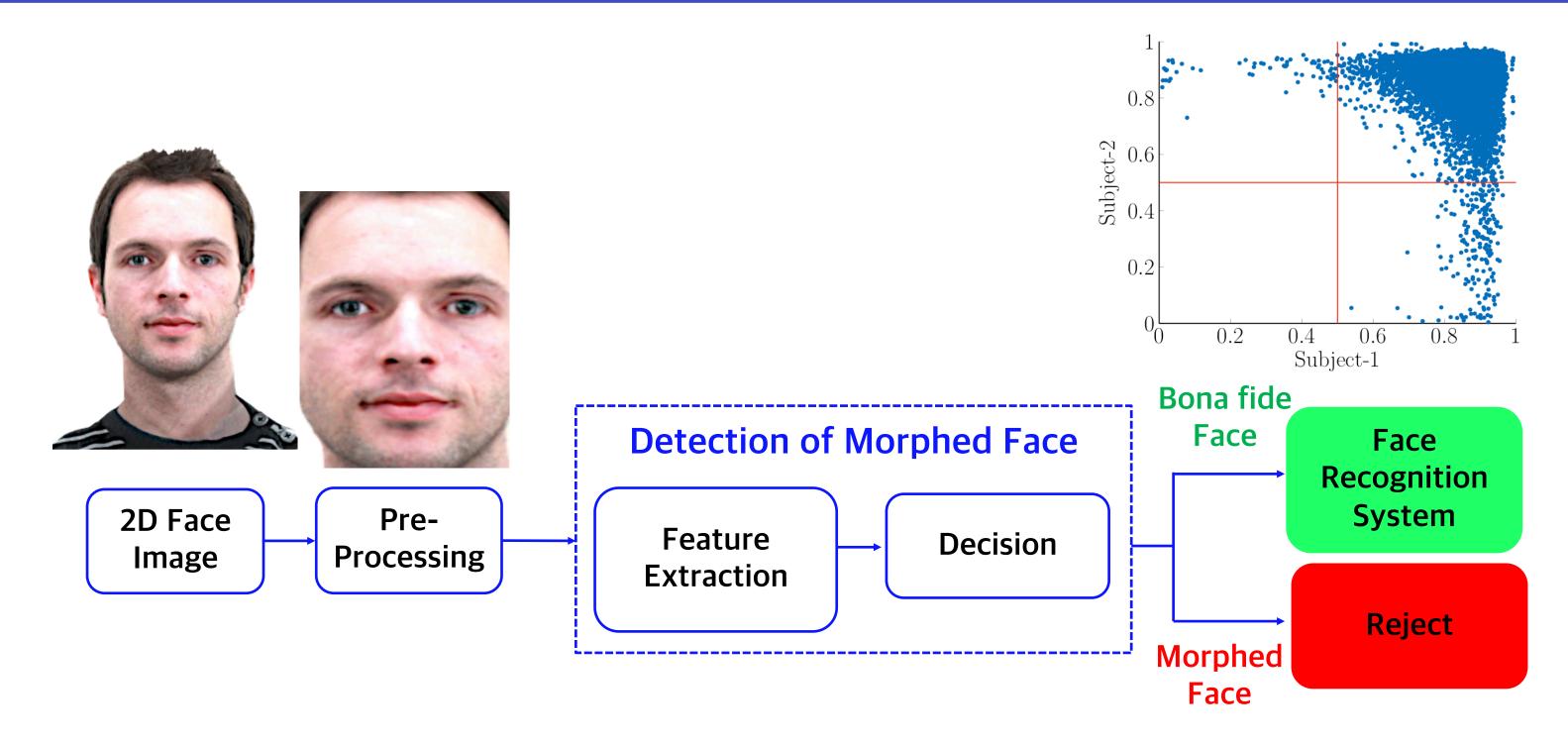


## Differential MAD (D-MAD) - Operations





## Morphing Attack Detection (MAD)



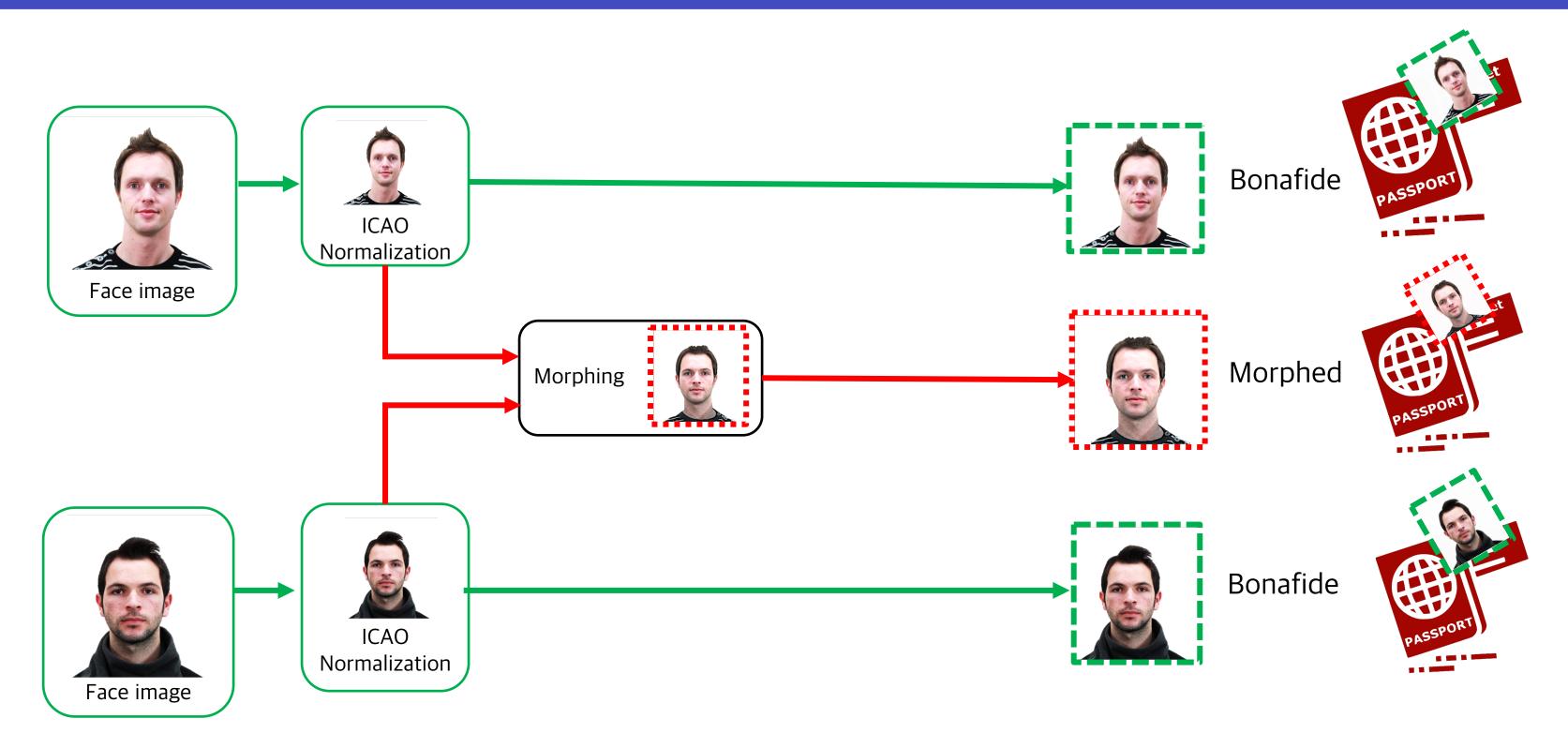
Ulrich Scherhag, Christian Rathgeb, Johannes Merkle, Ralph Breithaupt, Christoph Busch, Face Recognition Systems under Morphing Attacks: A Survey, in IEEE Access, 2019. R. Raghavendra, K. B. Raja, and C. Busch. Detecting Morphed Face Images. In 8th IEEE International Conference on Biometrics: Theory, Applications, and Systems (BTAS), 2016.

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## Morphing Attacks - Challenges - Digital



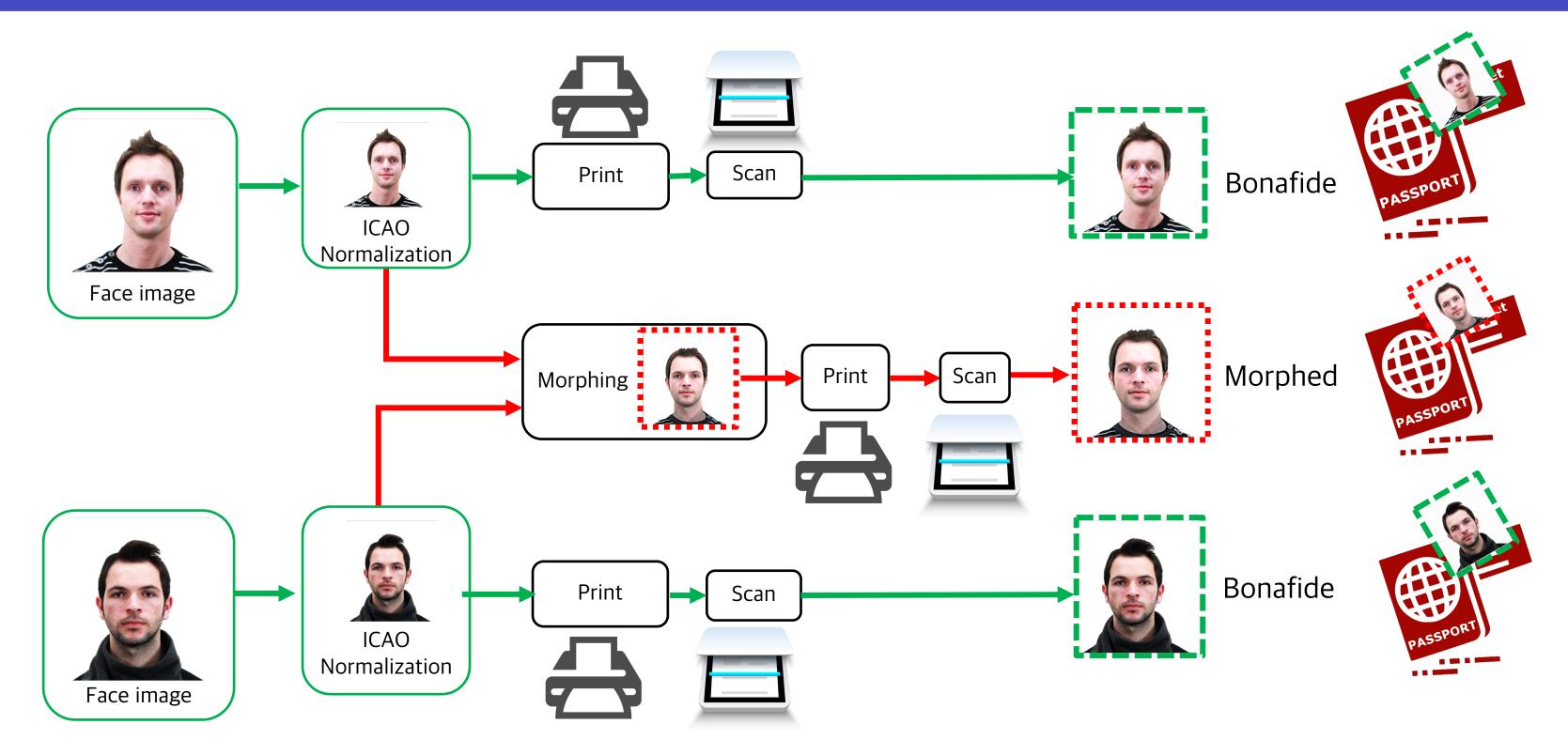
Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Raghavendra, R., Kiran Raja, and Christoph Busch. "Detecting morphed face images." In 2016 IEEE BTAS, pp. 1-7. IEEE, 2016.

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## Morphing Attacks - Challenges - Re-digitized



Raghavendra, R., Kiran Raja, Venkatesh, S., & Busch, C. (2017, October). Face morphing versus face averaging: Vulnerability and detection. In 2017 IEEE International Joint Conference on Biometrics (IJCB) (pp. 555-563). IEEE.

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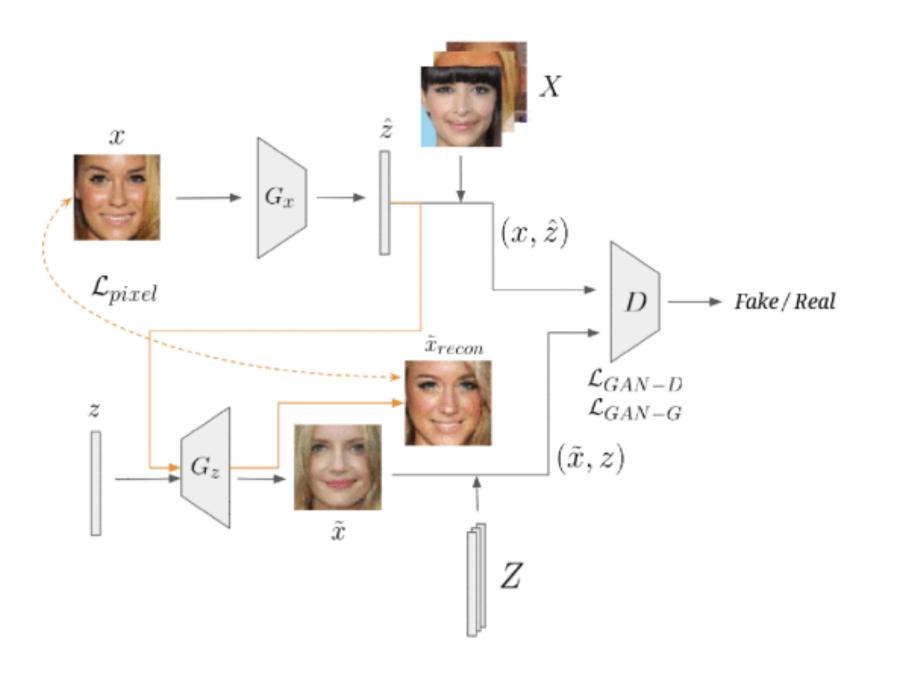
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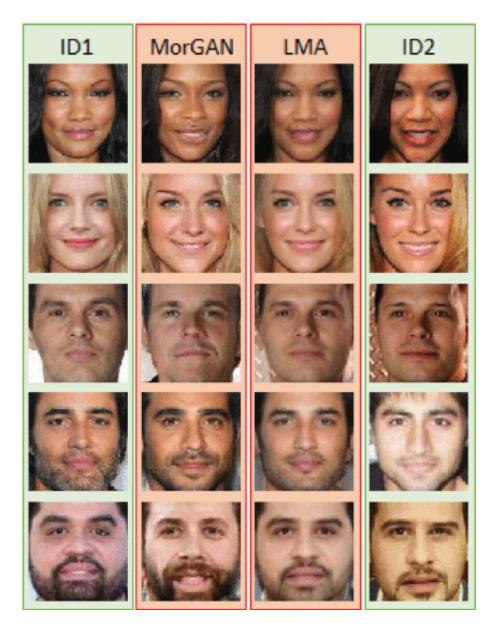




Obstacles for deployment - Low cost, but effective attacks

# GAN - Minimal Effort and Superior Attacks





### Morphing Process

Damer, N., Saladié, A. M., Braun, A., & Kuijper, A. (2018, October). MorGAN: Recognition vulnerability and attack detectability of face morphing attacks created by generative adversarial network. In 2018 IEEE 9th International Conference on Biometrics Theory, Applications and Systems (BTAS) (pp. 1-10). IEEE.

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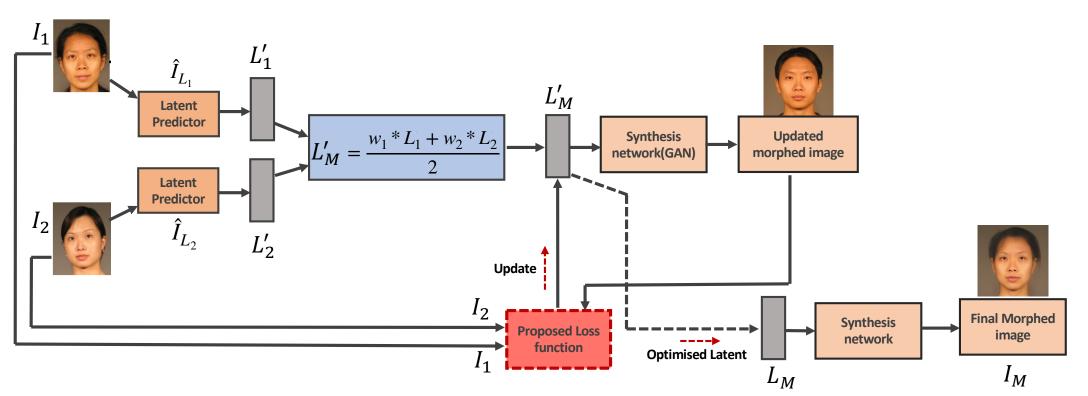
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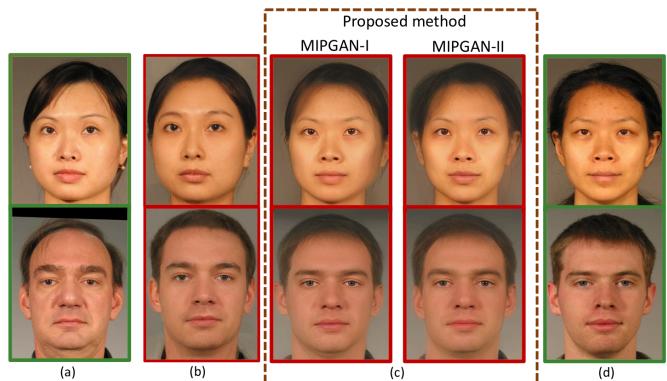
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### Example Morphed Images



# **GAN - Minimal Effort and Superior Attacks**





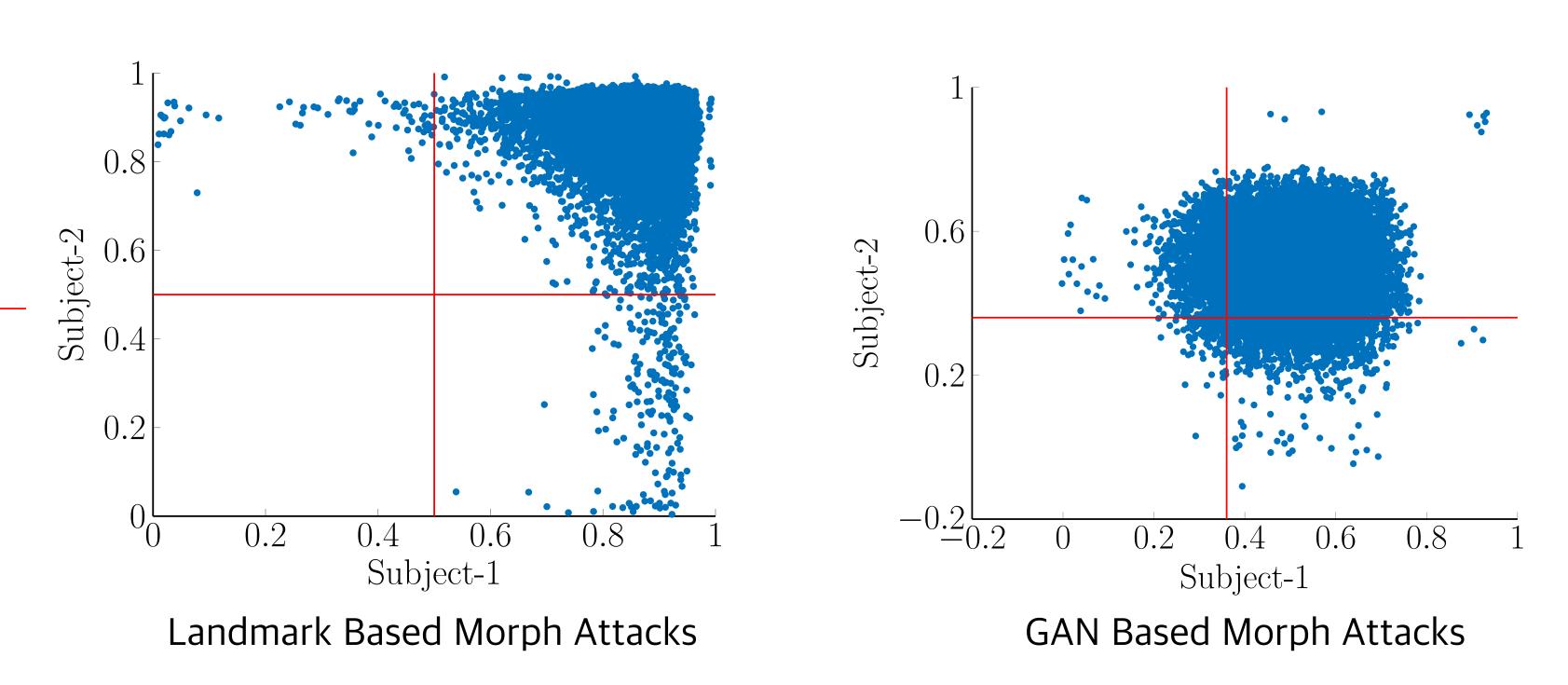
Zhang, Haoyu, Sushma Venkatesh, Raghavendra Ramachandra, Kiran Raja, Naser Damer, and Christoph Busch. "MIPGAN--Generating Robust and High Quality Morph Attacks Using Identity Prior Driven GAN." arXiv e-prints(2020): arXiv-2009.

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### **GAN - Minimal Effort and Superior Attacks**



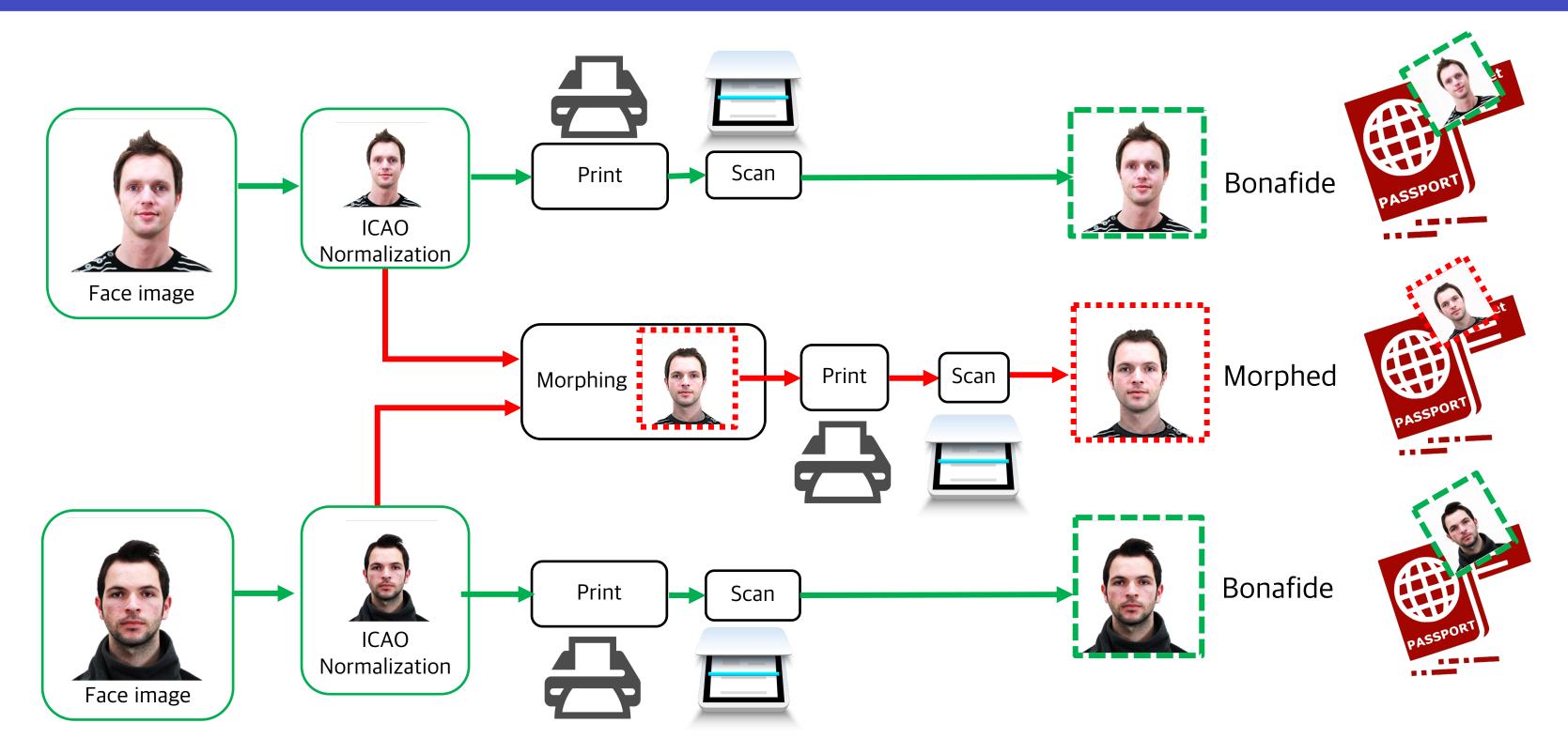
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# Obstacles for deployment - Multiplicity of variates

## Morphing Attacks - Challenges - Re-digitized



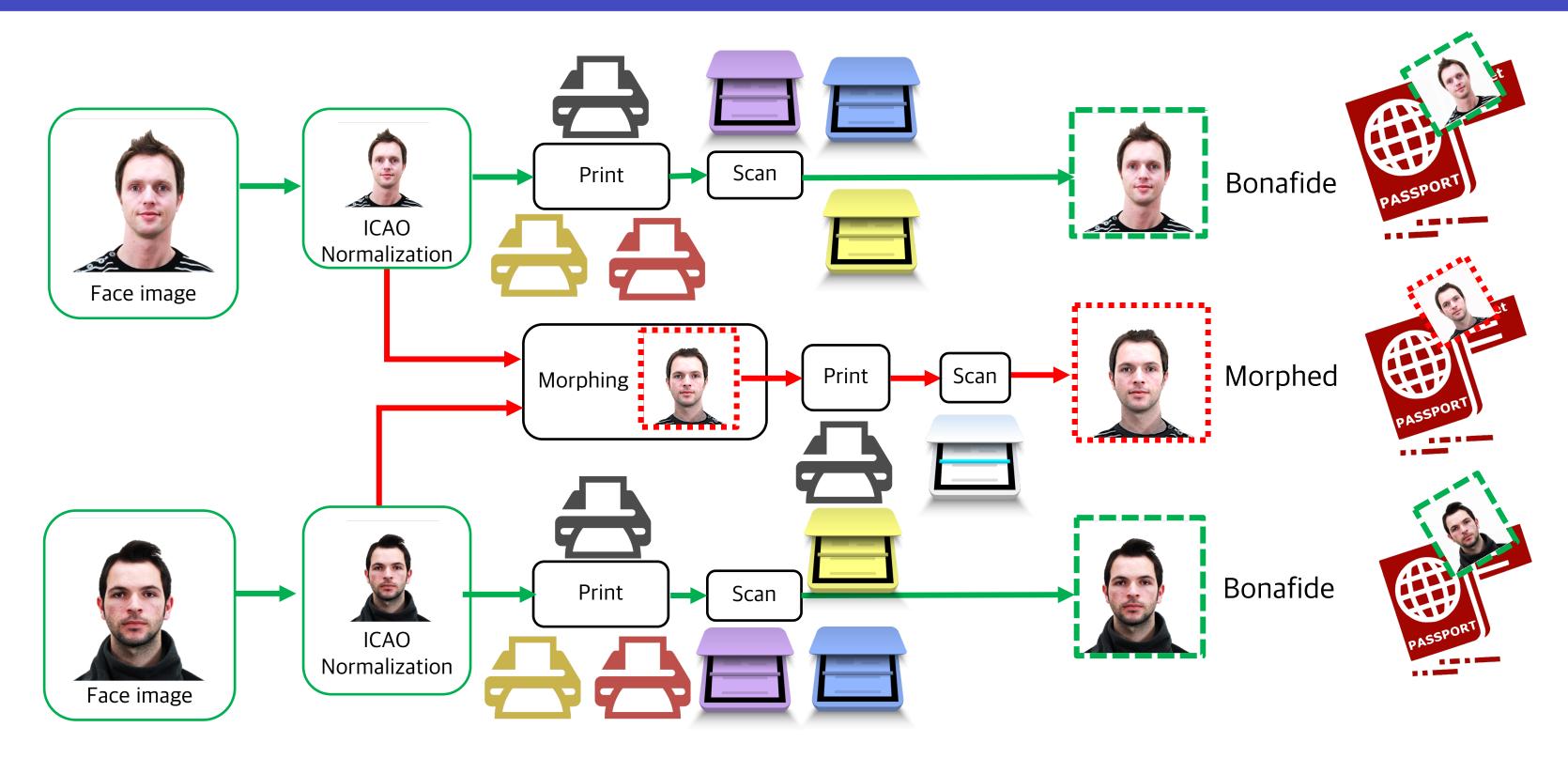
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# Obstacles for deployment - Scenario challenges

## Morphing Threats - Operational Constraints



### ABC Gate operational scenario testing

Raghavendra, Ramachandra, Kiran B. Raja, Bian Yang, and Christoph Busch. "Automatic face quality assessment from video using gray level co-occurrence matrix: An empirical study on automatic border control system." In 2014 22nd International Conference on Pattern Recognition, pp. 438–443. IEEE, 2014.

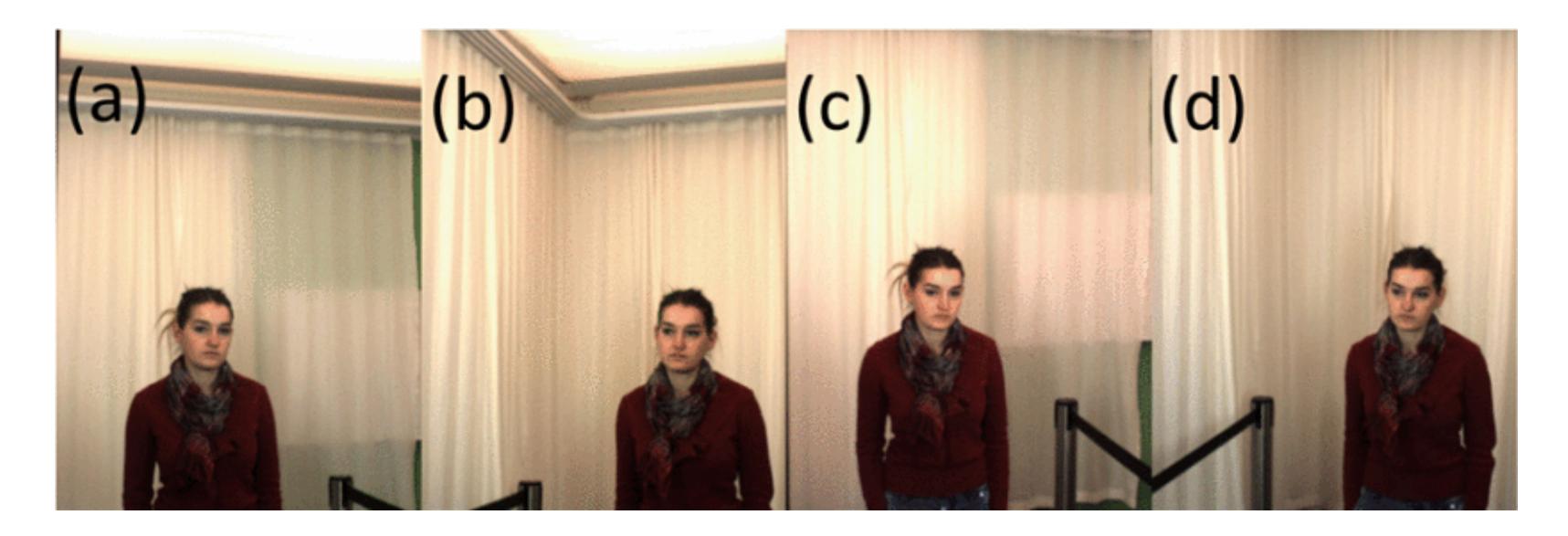
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## MAD in Operational Scenario



### Images acquired from different cameras of ABC system

Raghavendra, Ramachandra, Kiran B. Raja, Bian Yang, and Christoph Busch. "Automatic face quality assessment from video using gray level co-occurrence matrix: An empirical study on automatic border control system." In 2014 22nd International Conference on Pattern Recognition, pp. 438-443. IEEE, 2014.

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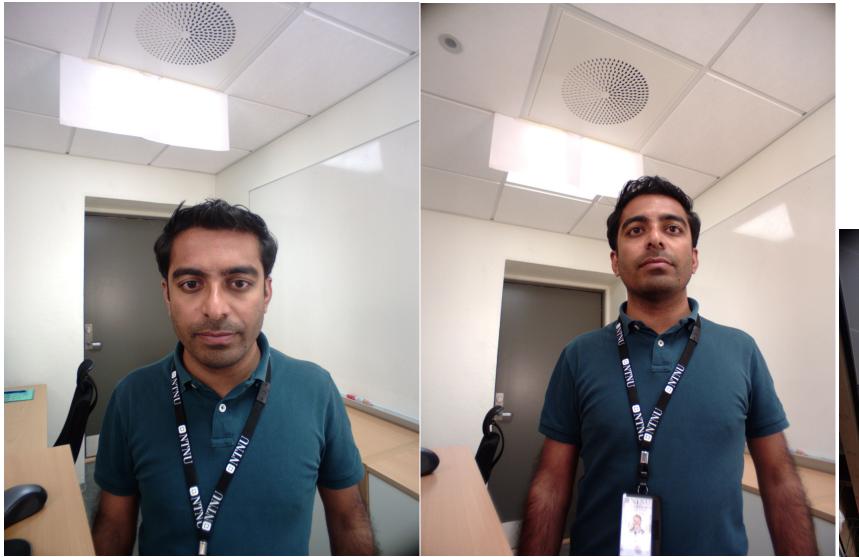
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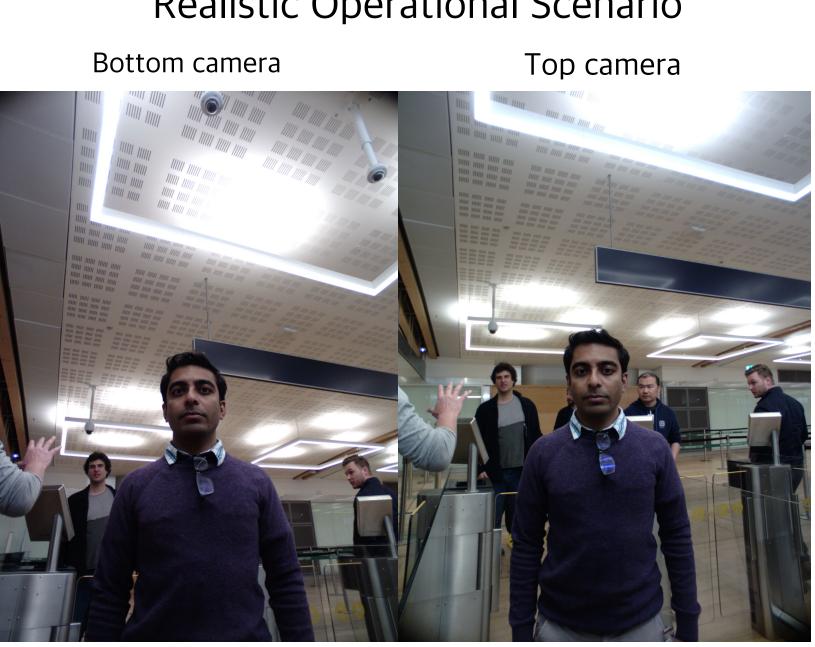
## MAD in Operational Scenario – Unsolved

### Top camera

Bottom camera



### Laboratory Set-up



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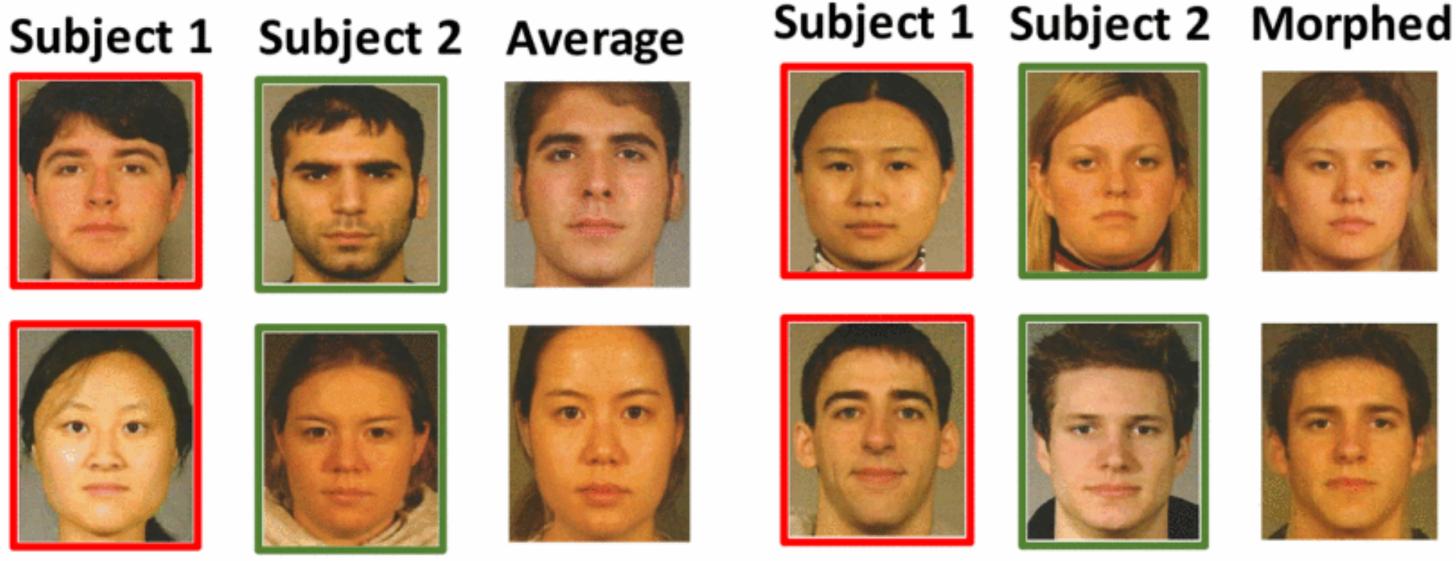
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### Realistic Operational Scenario

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# Obstacles for deployment - Ethnicity, Age and Gender Challenges

## Ethnicity, Age and Gender



### (a) Averaged face images

### (b) Morphed face images

Impact of Ethnicity and Age on Morphing Creation

Raghavendra, R., Kiran Raja, Sushma Venkatesh, and Christoph Busch. "Face morphing versus face averaging: Vulnerability and detection." In 2017 IEEE International Joint Conference on Biometrics (IJCB), pp. 555-563. IEEE, 2017.

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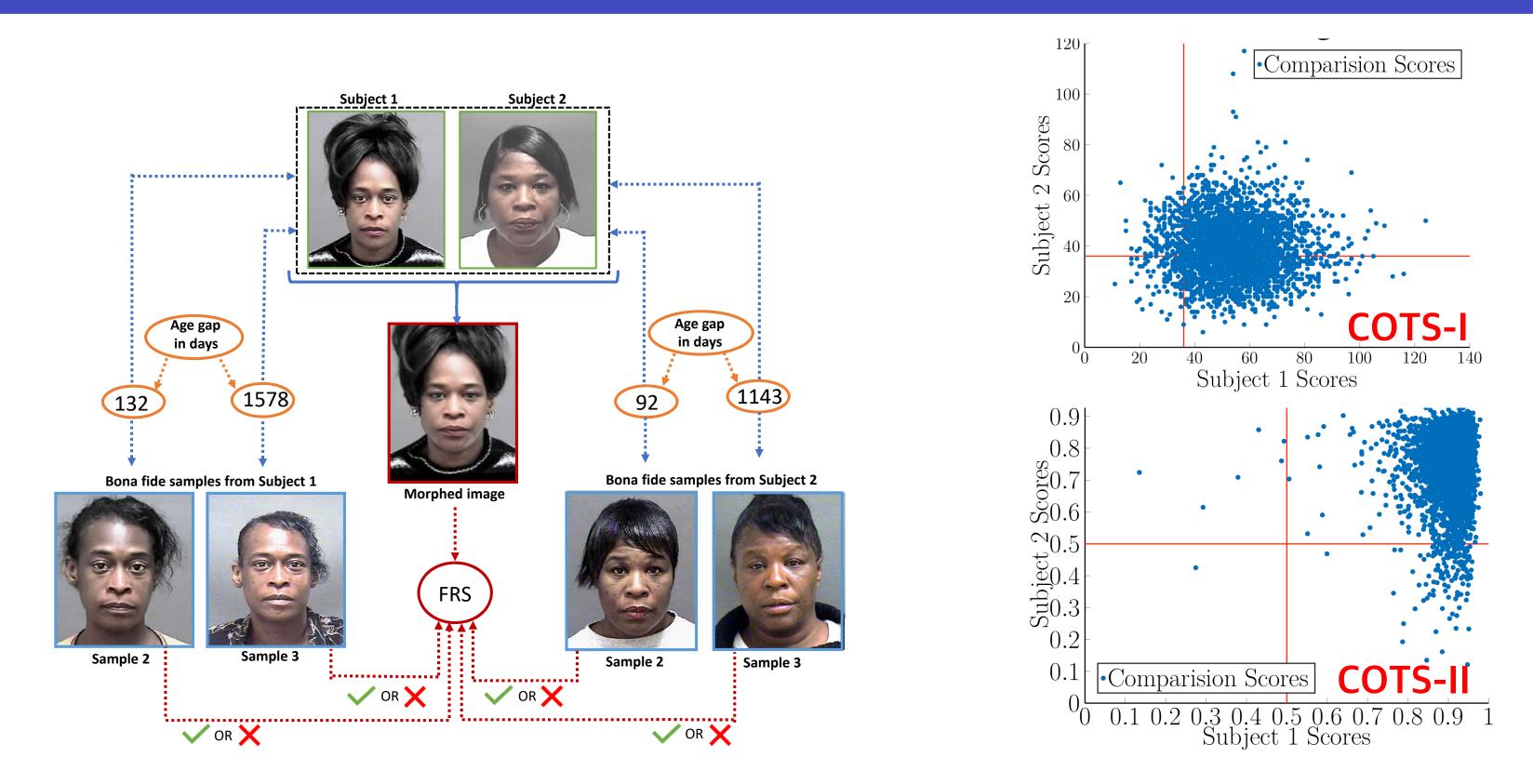








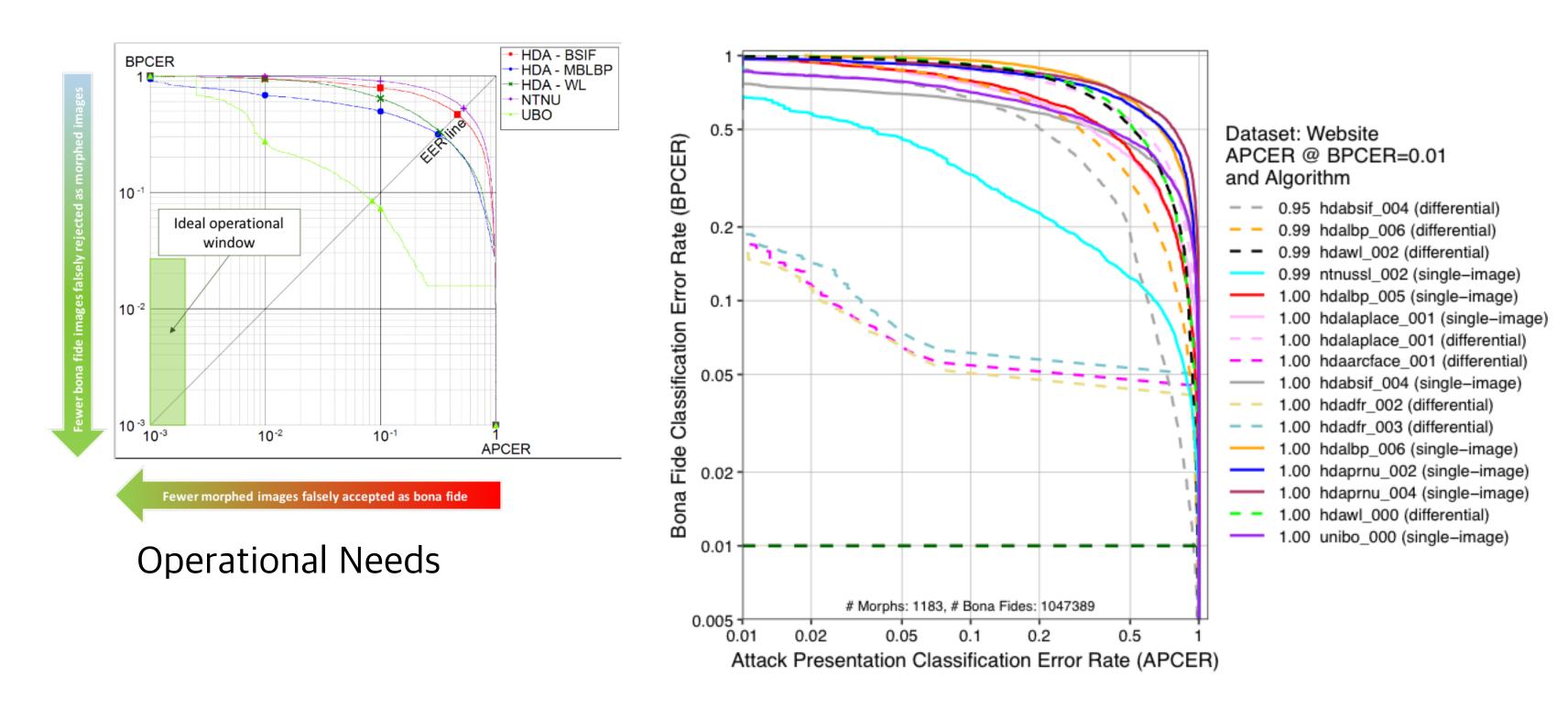
### Ageing and Passport Lifecycle



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# Obstacles for deployment – Generalization Challenges

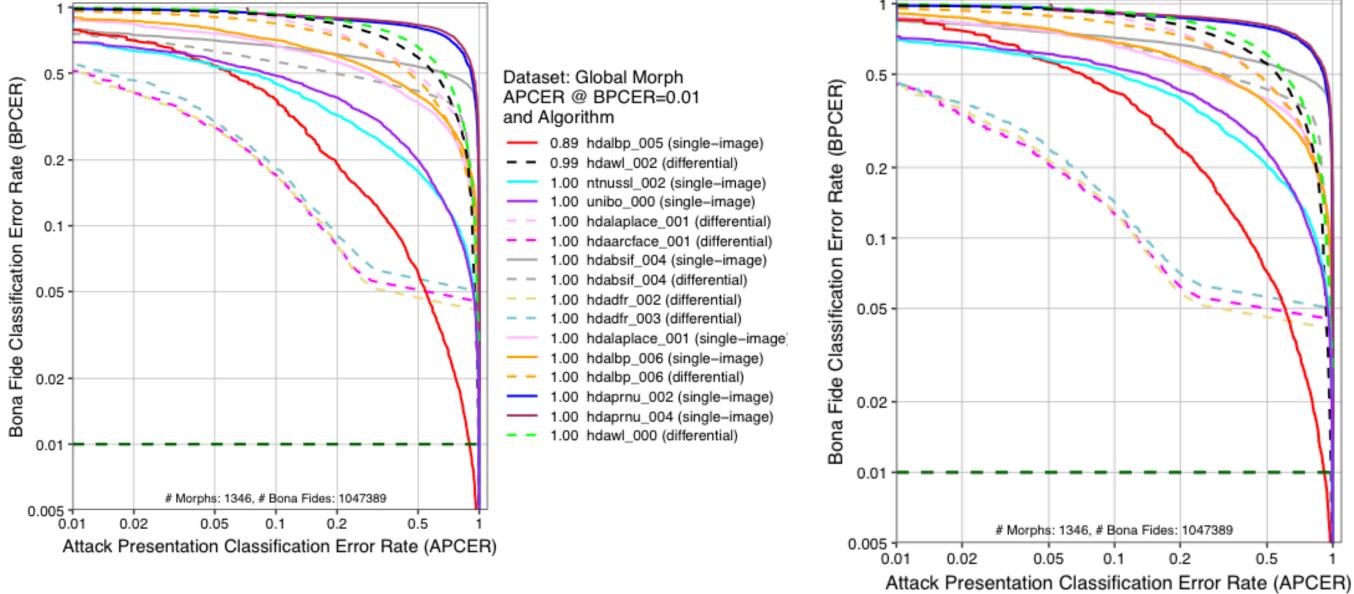
# NIST - Tier 1 - Low Quality Morphs - S-MAD



https://pages.nist.gov/frvt/reports/morph/frvt\_morph\_report.pdf

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## NIST - Tier 2 - Automated Morphs - S-MAD



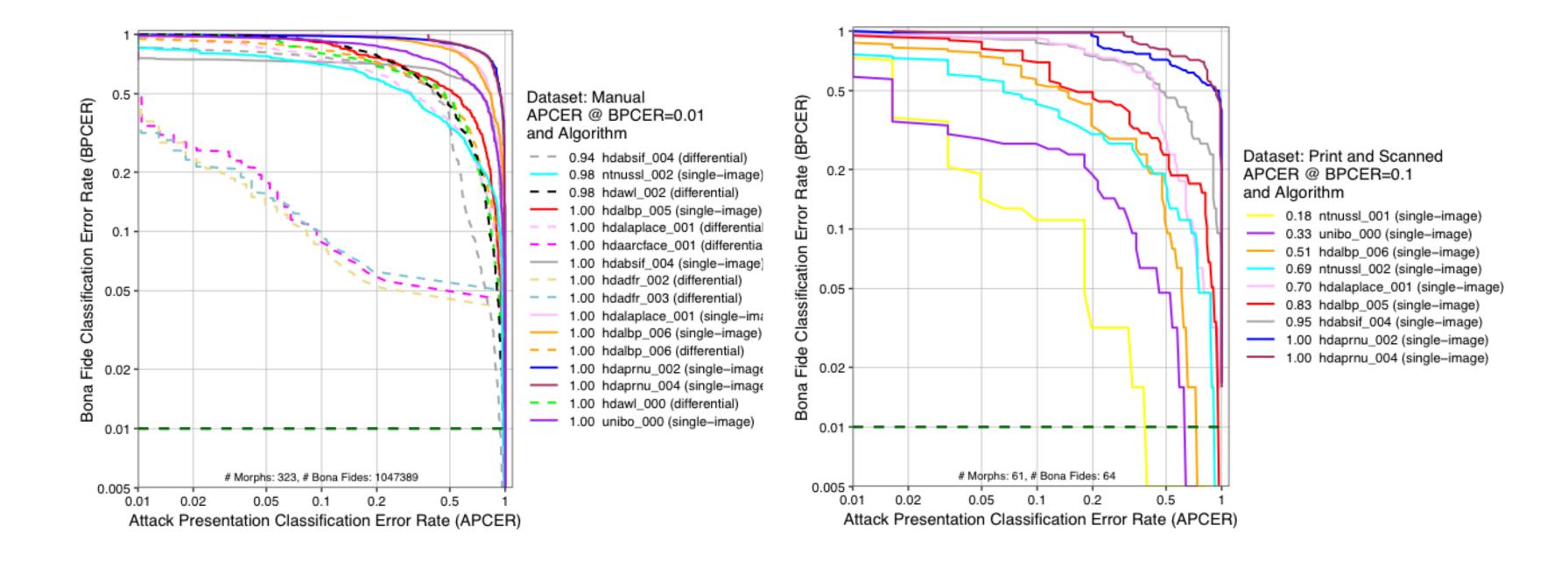
### https://pages.nist.gov/frvt/reports/morph/frvt\_morph\_report.pdf

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### Dataset: Local Morph APCER @ BPCER=0.01 and Algorithm

- 0.91 hdalbp\_005 (single-image)
- 0.98 hdawl\_002 (differential)
- 1.00 ntnussl\_002 (single-image)
- 1.00 unibo\_000 (single-image)
- 1.00 hdalaplace\_001 (differential)
- 1.00 hdaarcface\_001 (differential)
- 1.00 hdabsif\_004 (single-image)
- 1.00 hdabsif\_004 (differential)
- 1.00 hdadfr\_002 (differential)
- 1.00 hdadfr\_003 (differential)
- 1.00 hdalaplace\_001 (single-image)
- 1.00 hdalbp\_006 (single-image)
- 1.00 hdalbp\_006 (differential)
- 1.00 hdaprnu\_002 (single-image)
- 1.00 hdaprnu\_004 (single-image)
- 1.00 hdawl\_000 (differential)

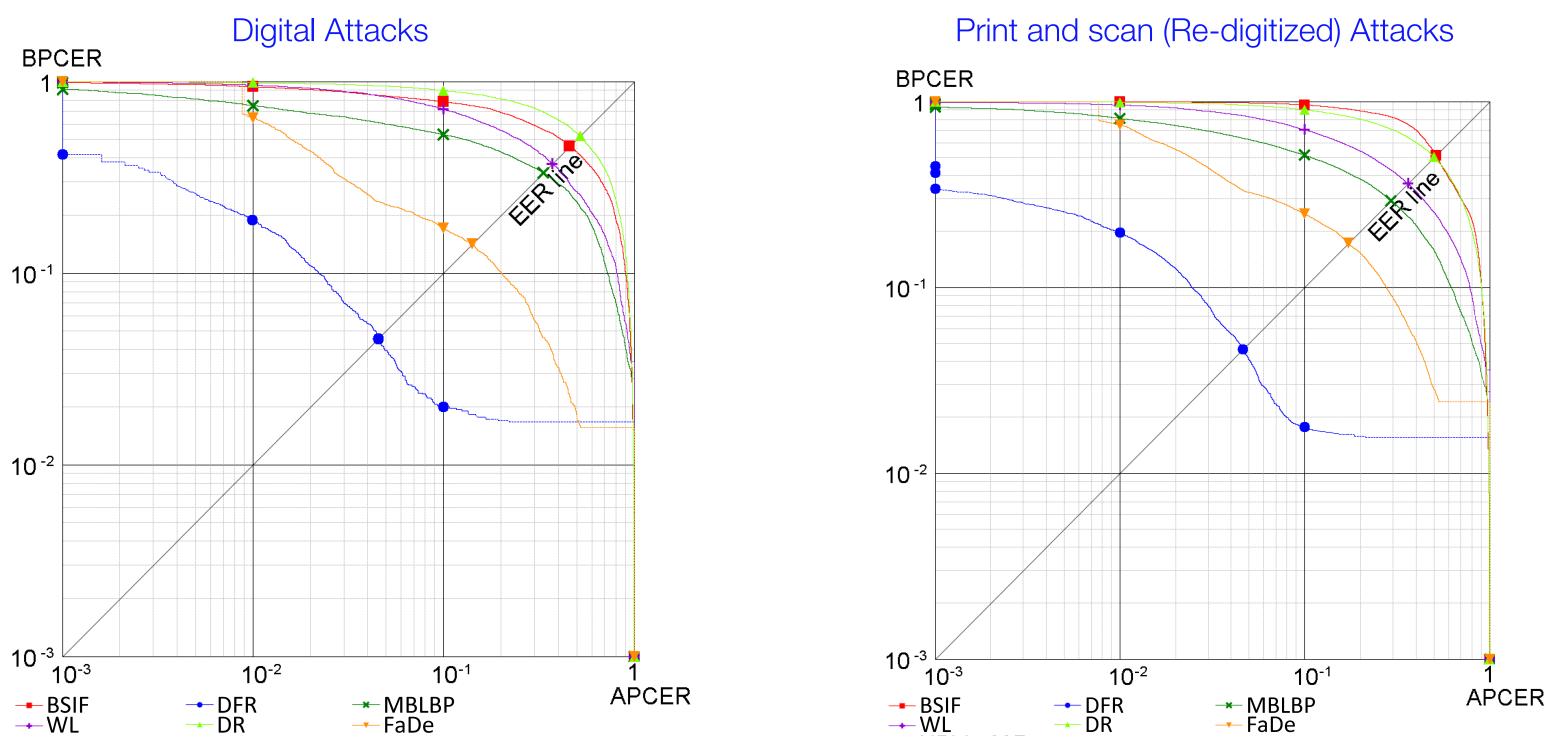
# NIST - Tier 3 - High Quality Morphs



https://pages.nist.gov/frvt/reports/morph/frvt\_morph\_report.pdf

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## SOTAMD - State-Of-The-Art Results



Raja, K., Ferrara, M., Franco, A., Spreeuwers, L., Batskos, I., Gomez-Barrero, F.D.W.M., Scherhag, U., Fischer, D., Venkatesh, S., Singh, J.M. and Li, G., 2020. Morphing Attack Detection--Database, Evaluation Platform and Benchmarking. arXiv preprint arXiv:2006.06458.

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# Obstacles for deployment - Challenge for Human Face Experts



Fig. 1 Top: An example of the images used in previous work (adapted from Robertson et al., 2018). Bottom: An example of the images used in the current work (Experiment 3<sup>1</sup>). The three faces depict two individuals (*left, right*) and a morph created using these images (center). The individuals pictured have given permission for their images to be reproduced here

### Observing better quality morphing by human observers

Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1–7. IEEE, 2014. Kramer, Robin SS, Michael O, Mireku, Tessa R, Flack, and Kay L. Ritchie. "Face morphing attacks: Investigating detection with humans and computers." Cognitive research: principles and implications 4, no. 1 (2019): 28.

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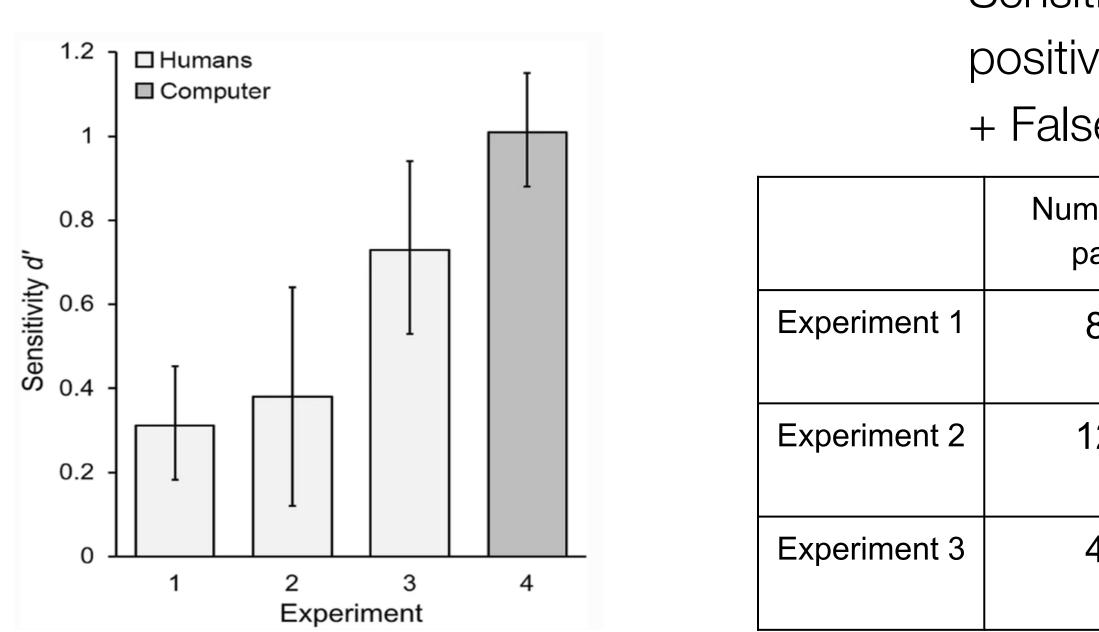
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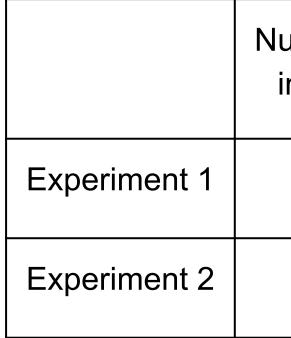
### Sensitivity = True positive / (True positive + False negative)

nber of airs	Error rate	Number of participant
80	48.5	80
20	44.6	49
49	16.8	1410

38







Original person left side. 50/50 morphed image in right side

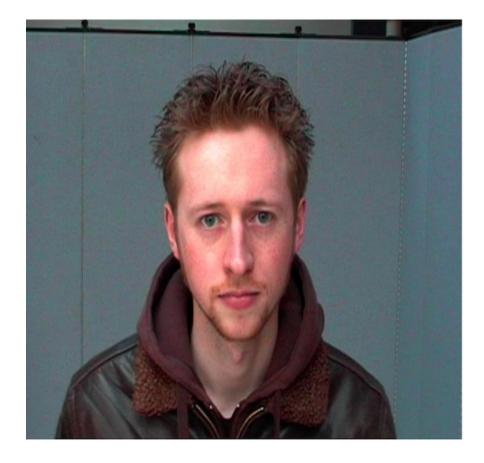
Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Robertson, D. J., Kramer, R. S., & Burton, A. M. 2017. Fraudulent id using face morphs: Experiments on human and automatic recognition. PLoS One, 12(3), e0173319.

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umber of images	Error rate	Participant
49	68%	49
49	21%	42

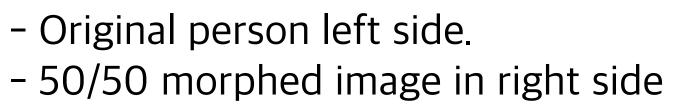
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(Experiment 2)

Press 3 for Morph







Ferrara, Matteo, Annalisa Franco, and Davide Maltoni. "The magic passport." In IEEE International Joint Conference on Biometrics, pp. 1-7. IEEE, 2014. Robertson, D. J., Kramer, R. S., & Burton, A. M. 2017. Fraudulent id using face morphs: Experiments on human and automatic recognition. PLoS One, 12(3), e0173319.

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### Proposed benchmarking tool for iMARS

Image 1 out of 100 images

Same Subject	
Morph	

\* You can take a break at any time during this experiment by clicking 'Continue later' button. You can continue this experiment using the following URL: http://folk.ntnu.no/sankinir/experiment/index.php/Continue \*Please remember to save your personal code. aMi8C

**Unknown** Capture



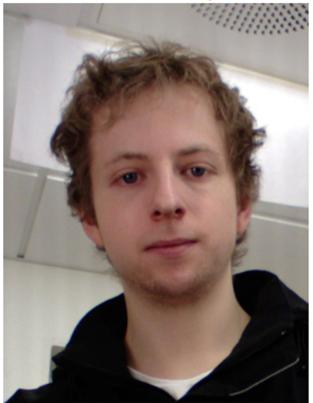
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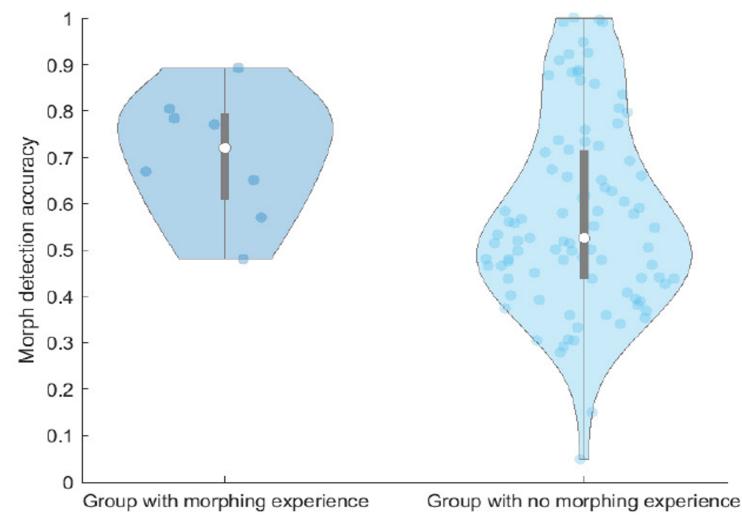
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Instruction

Trusted Live Capture



	Digital	Print and
	images	scanned
Morph image vs Bona-fide	48	48
Morph image vs ABC gate	48	48
image		
Post processed morph image	48	48
vs Bona-fide		
Post processed morph image	48	48
vs ABC gate image		
Bona-fide vs Bona-fide	*20	0
Total	222	192



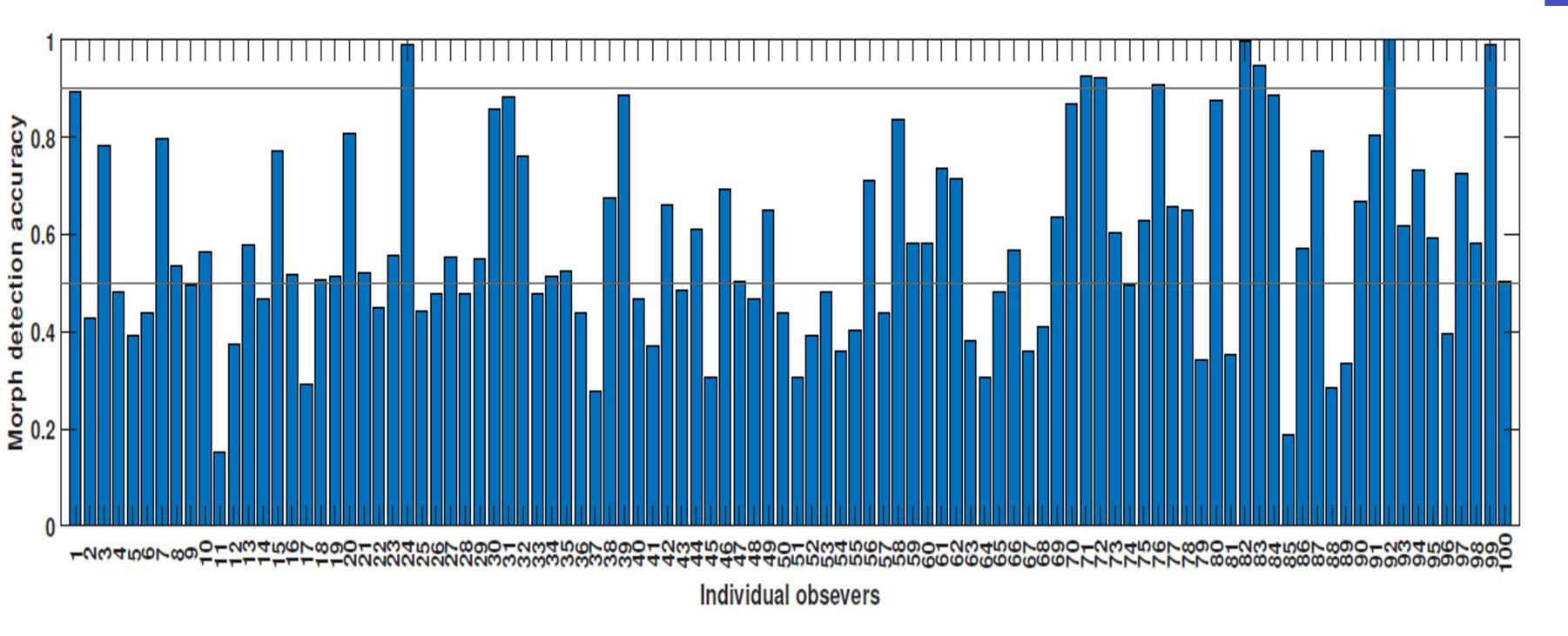
RANCHA GODAGE Sankini, Investigating and Analyzing Human Observer Ability in Detecting Face Morph Attacks, Master Thesis NTNU, 2020

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Obsever group

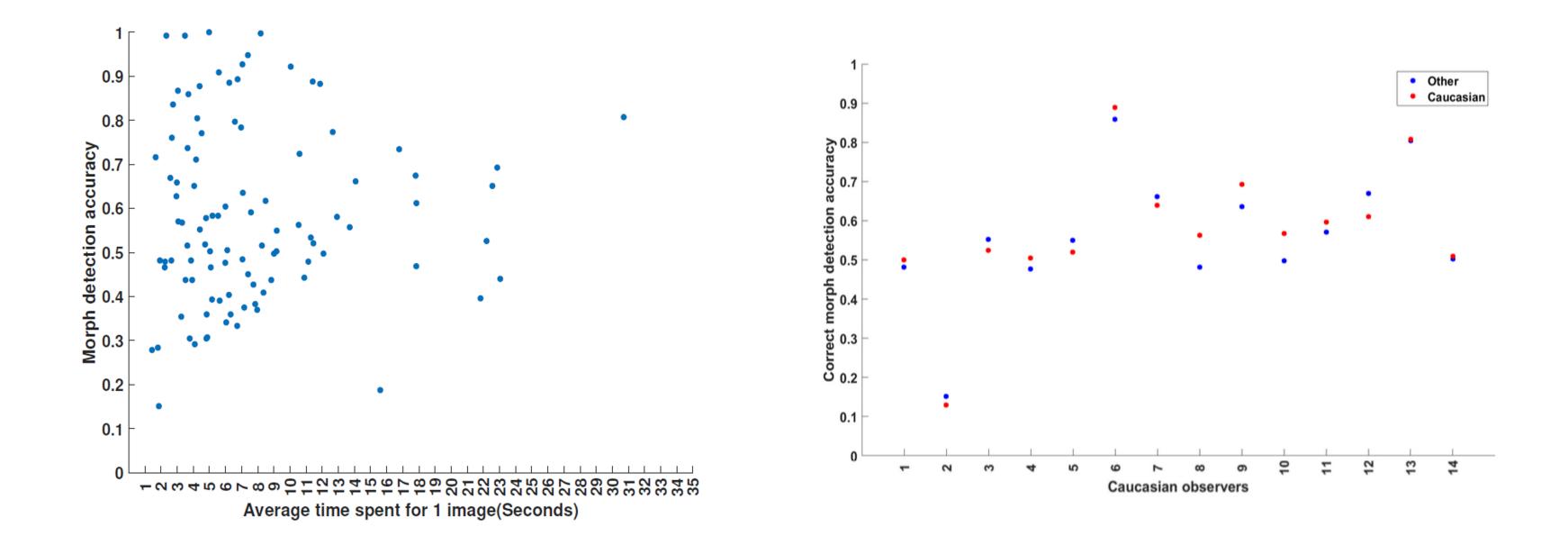




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### Unsolved Challenges in MAD

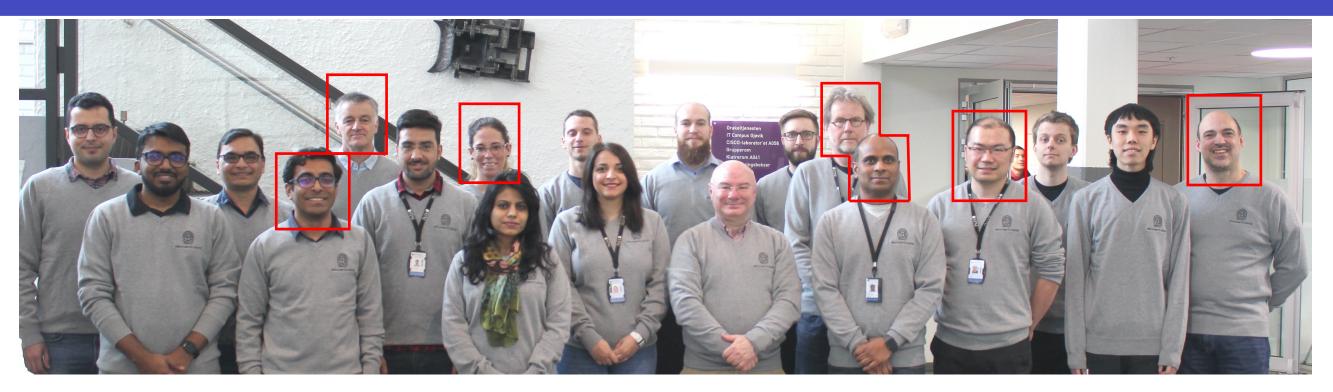
Given the number of covariates impacting the MAD performance such as age, gender and ethnicity, accurate and better algorithms need to be developed.

Print and scan process reduces the MAD accuracy to a larger extent need further research for developing generalizable algorithms.

Human detection performance should be studied in a standardized manner to understand the key factors in spotting the morphing attacks on FRS - helpful in **border control**.



### Contact



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National Office for Identity Data Ministry of the Interior and Kingdom Relations

### \*

Bundeskriminalamt





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